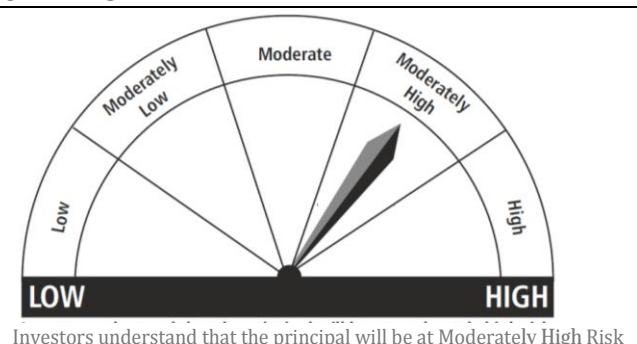


## KEY INFORMATION MEMORANDUM

This product is suitable for investors who are seeking\*

- Capital appreciation over long term;
- Investment predominantly in equity and equity related instruments;

\*Investors should consult their financial advisors if in doubt about whether the product is suitable for them.



This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.iiflmf.com](http://www.iiflmf.com). The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM. The KIM is dated June 28, 2018.

Name of Mutual Fund	Name of Asset Management Company	Name of Trustee company
<b>IIFL Mutual Fund</b> IIFL Centre, 6th floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013	<b>IIFL Asset Management Ltd.</b> Regd. Office: IIFL Centre, 6th floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013	<b>IIFL Trustee Ltd</b> Regd. Office: IIFL Centre, 6th floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013

Name of sponsor	Name of the Registrar
<b>IIFL Wealth Management Limited</b> Regd. Office: IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013	Computer Age Management Services Pvt. Ltd. (CAMS) Regd. Office: No.178 (New No.10) M.G.R. Salai (formerly Known as Kodambakkam High Road), Nungambakkam, Chennai - 600 034

**Name of the Scheme:**  
IIFL Focused Equity Fund

**Type of the Scheme:**

An open-ended equity scheme investing in maximum 30 multi-cap stocks

**Investment Objective:**

The investment objective of the scheme is to generate long term capital appreciation for investors from a portfolio of equity and equity related securities. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Asset Allocation Pattern of the Scheme:**

The investment policies of the Scheme shall be as per SEBI (Mutual Funds) Regulations, 1996, and within the following guidelines. Under normal market circumstances, the investment range would be as follows

Instruments	Indicative Allocation (% of Net assets)		Risk Profile
	Minimum	Maximum	
Equity or* Equity Related Instruments	75%	100%	High
Debt and money market instruments	0%-25%		Low to Medium

\*Equity related instruments are securities which give the holder of the security right to receive equity shares and includes share warrants, convertible preference shares and compulsorily or optionally convertible debentures.

The Scheme will not participate in short selling, securitized debt, equity linked debentures, repo transactions of Corporate Debt Securities. The Scheme may invest in unrated debt and debt derivative instruments. Investment in debt derivatives shall be up to 10% of the net assets of the Scheme. The Scheme may invest in derivative products from time to time as permitted by SEBI. The exposure to derivative instruments shall not exceed 50% of the total Net Assets of Scheme. The Scheme will not participate in securities lending more than 20% of total Net Assets of the Scheme and would limit its exposure with regard to securities lending for a single intermediary to the extent of 5% of the total net assets at the time of lending.

IIFL India Focused Equity Fund is the only open-ended equity scheme of IIFL Mutual Fund.

**Investment Strategy:**

The Scheme would endeavour to identify the sectors that are likely to do well in the medium term, based on the business cycle of the economy and take focused exposure to securities of Companies in identified sectors. The sectors would be actively monitored and changes will be made to invest in sectors that will benefit from the current stage of the business cycle. The Scheme would take concentrated exposure in not more than 25 - 30 high conviction stocks in such sectors. The Scheme would be market cap agnostic and there wouldn't be any restriction on the sectors in which the fund can invest.

**Risk Profile of the Scheme:**

Mutual Fund Units involve investment risk including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below. Scheme specific Risk Factors are summarised below:

**Risks associated with investing in Equities:**

a. Investments in the equity shares of the Companies are subject to price fluctuation on daily basis. The volatility in the value of equity is due to various

micro and macro-economic factors like economic and political developments, changes in interest rates, etc. affecting the securities markets. This may have adverse impact on individual securities/sector and consequently on the NAV of Scheme.

- b. The NAVs of schemes investing in equity will fluctuate as the daily prices of the individual securities in which they invest fluctuate and the units when redeemed may be worth more or less than their original cost.
- c. The value of the Scheme's investments, may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government, taxation laws or policies of any appropriate authority and other political and economic developments and closure of stock exchanges which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets. Consequently, the NAV of the units of the Scheme may fluctuate and can go up or down.
- d. Investors may note that Fund Manager's investment decisions may not always be profitable, as actual market movements may be at variance with anticipated trends.
- e. Securities which are not quoted on the stock exchanges are inherently illiquid in nature and carry a larger liquidity risk in comparison with securities that are listed on the exchanges or offer other exit options to the investors, including put options. The AMC may choose to invest in unlisted securities that offer attractive yields within the regulatory limit. This may, however, increase the risk of the portfolio. Additionally, the liquidity and valuation of the Scheme's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to the target date of disinvestment.
- f. The sector weightage in the fund would be different from that in the Index. Because of this the fund returns could be divergent from the Index returns and could also under-perform if the sector calls do not go right as expected by the fund management team.

**Risk associated with Investing in Debt and money market instruments:**

The performance of the Scheme may be affected by changes in macroeconomic factors such as Government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems.

**Risk associated with Investing in Derivatives:**

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of the fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

**Risk associated with Securities Lending:**

Securities Lending is a lending of securities through an approved intermediary to a borrower under an agreement for a specified period with the condition that the borrower will return equivalent securities of the same type or class at the end of the specified period along with the corporate benefits accruing on the securities borrowed.

**Risk Mitigation Measures:**

Risk is an inherent part of the investment function. Effective Risk management is critical to fund management for achieving financial soundness. Investment by the Scheme would be made as per the investment objective of the Scheme and in accordance with SEBI Regulations. AMC has adequate safeguards to manage risk in the portfolio construction process. Risk control would involve managing risk in order to keep in line with the investment objective of the Scheme. The risk control process would include identifying the risk and taking proper measures for risk. The system has incorporated all the investment restrictions as per the SEBI guidelines and enables identifying and measuring the risk through various risk management tools like various portfolio analytics, risk ratios, average duration and analyses the same and acts in a preventive manner.

**Dematerialization of Units:**

The applicants intending to hold Units in dematerialized mode will be required to have a beneficiary account with a Depository Participant of the NSDL/CDSL and will be required to mention in the Application Form details of the beneficiary account at the time of purchasing Units.

**Liquidity:**

The Scheme will offer Units for Purchase and Redemption at NAV related prices on every Business Day on an ongoing basis, commencing not later than 5 working days from the allotment of units of the scheme. The Mutual Fund will dispatch the Redemption proceeds not later than 10 business days from the acceptance of the Redemption request

**Plans and Options:**

- Regular Plan
- Direct Plan
- Dividend Option
- Growth Option.

Dividend Option will have facility of Dividend pay-out and re-investment. If the applicant does not indicate the choice of Option in the Application form, the fund accepts the application as being for the Growth Option. Under Dividend Option, if applicant has not indicated the facility, the default facility accepted will be Dividend Reinvestment.

**Applicable NAV (after the scheme opens for repurchase and sale):**

The Cut-off time for the Scheme is 3.00 pm and the Applicable NAV will be as under:

**For Purchase / Switch-in:**

- In respect of valid Purchase applications [along with necessary documents] of investment amount less than Rs. 2 Lakh accepted at an Official Point of acceptance received up to 3.00 pm on a Business Day, the closing NAV of the day of receipt of application will be applicable;
- In respect of valid Purchase applications [along with necessary documents] of investment amount equal to or more than Rs. 2 Lakh accepted at an Official Point of acceptance received up to 3.00 pm on a Business Day, and the funds are available for utilisation before the cut-off time, the closing NAV of the day of receipt of application will be applicable;
- In respect of valid Purchase applications [along with necessary documents] of investment amount less than Rs.2 Lakh accepted at an Official Point of Acceptance received after 3.00 pm on a Business Day, the closing NAV of the next Business Day will be applicable.
- In respect of valid Purchase applications [along with necessary documents] of investment amount equal to or more than Rs.2 Lakh accepted at an Official Point of Acceptance received after 3.00 pm on a Business Day, the closing NAV of the next Business Day will be applicable, and
- Irrespective of the time of receipt of application of investment amount equal to or more than Rs. 2 Lakh, where the funds are not available for utilisation before the cut-off time, the closing NAV of the day on which the funds are available for utilisation will be applicable.

For allotment of units, it shall be ensured that for all valid applications of investment amount equal to or more than 2 Lakh Funds for the entire amount of subscription / purchase as per the application / switch-in request are credited to the bank account of the Scheme before the cut-off time, and the funds are available for utilisation before the cut-off time without availing any credit facility whether intra-day or otherwise, by the Scheme.

Where one or more subscription applications are received on a business day (as per time stamping rules) from an investor and the aggregate subscription amount of such applications is equal to or more than Rs.2 lacs, the applicable NAV would be the closing NAV of the day on which such subscription money is available for utilization. For more details on said aggregation refer SID.

**For Redemption/ Switch out:**

In respect of valid applications accepted at an Official Point of Acceptance up to 3.00 p.m. on a Business Day, the closing NAV of the same day will be applicable; and In respect of valid applications accepted at an Official Point of Acceptance after 3.00 p.m., the closing NAV of the next Business Day will be applicable.

**Minimum Application Amount:**

- New Purchase – Rs. 5,000 and in multiples of Rs. 100 thereafter.
- Additional purchase – Rs. 1,000 and in multiples of Rs. 100 thereafter
- Systematic Investment Plan (SIP)
  - Rs. 1000 per month for a minimum period of six months.
  - Quarterly Option – Rs. 1,500 per quarter for a minimum period of 4 quarters.

Investments above the minimum amount mentioned, shall be made in multiples of Rs. 100 for all SIP irrespective of frequency of SIP or the Option. The minimum application amounts listed above does not apply in case of Transfers

The AMC in consultation with the Trustees reserves the right to discontinue/ add more plans/ options at a later date subject to complying with the prevailing SEBI guidelines and Regulations.

**Repurchase/ Redemption:**

The minimum amount in rupees for Redemption shall be Rs. 1,000/- or account balance whichever is less. Investor may note that upon the processing of part redemption/switch out request, if the account balance in the scheme falls below Rs. 1000/- then the same will be redeemed/switched out along with the said request.

**Switches:**

The minimum amount in case of inter/ intra scheme (inter plan/inter option) switches shall be the minimum amount required in the respective transferee scheme/plan.

**Cash Investments:**

It is permitted to accept cash transactions to the extent of ₹50,000/-, however, the Asset Management Company is in process of implementing adequate systems and controls to accept Cash Investment in the Scheme. Information in this regard will be provided to Investors as and when the facility is made available.

**Despatch of Repurchase (Redemption) Request:**

Within 10 Business Days of the receipt of valid redemption request at the Official Points of Acceptance.

**Benchmark Index:**

Nifty 50 TRI

**Dividend Policy:**

Under Dividend Option, dividend will be declared subject to availability of distributable surplus and at discretion of AMC/ Trustee. The undistributed portion of the income will remain in the Option and be reflected in the NAV, on an ongoing basis. The Trustee's decision with regard to availability and adequacy, rate, timing and frequency of distribution of dividend shall be final.

**Name of Fund Manager:**

Mr. Prashasta Seth

He is managing the scheme since November 03, 2016.

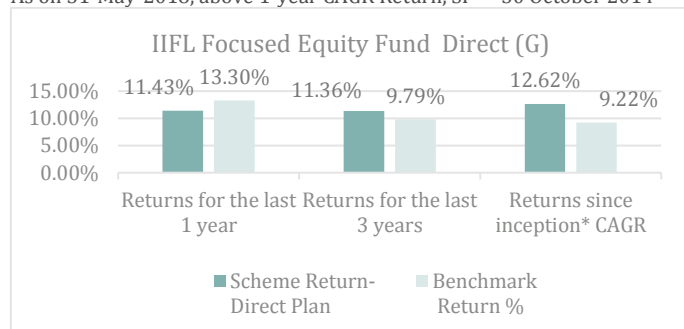
**Name of Trustee Company:**

IIFL Trustee Ltd.

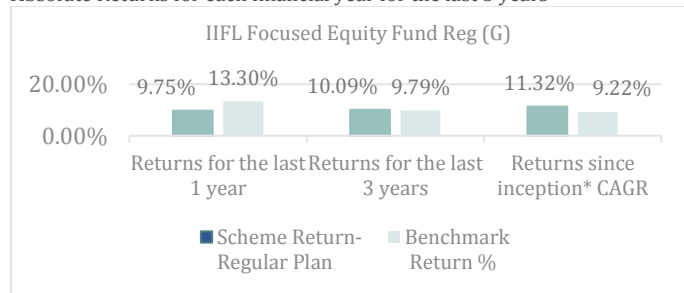
**Performance of the Scheme:**

Compounded Annual Return	Scheme Return - Regular Plan	Scheme Return -	Benchmark Return %
Returns for the last 1 year	9.75%	11.43%	13.30%
Returns for the last 3 years	10.09%	11.36%	9.79%
Returns since inception* CAGR	11.32%	12.62%	9.22%

As on 31-May-2018, above 1-year CAGR Return, SI\*\*\* 30 October 2014



Absolute Returns for each financial year for the last 3 years



Absolute Returns for each financial year for the last 3 years

Disclosure pursuant to SEBI Circular Dated March 18, 2016:

Top 10 Holdings (by issuer) of the Scheme as on May 31, 2018:

Name of the issuer	% of Scheme
Bajaj Finance Limited	8.15
HDFC Bank Ltd	7.73
CESC Ltd	6.96
MERCK Ltd.	6.27
Tech Mahindra Ltd	5.42
Tata Global Beverages Ltd	5.33
IIFL Holding Ltd	5.32
Infosys Ltd	5.09
State Bank of India	4.95
Sun Pharmaceuticals Industries Ltd	4.45

The complete portfolio of the scheme on monthly basis is updated at <http://www.iiflmf.com/Downloads/MandatoryDisclosures/MonthlyPortfolio.aspx>

#### Allocation of the scheme towards its various Sectors:

Sectors	% of Holding
Finance	29.65%
Banks	17.69%
Software	13.51%
Pharmaceuticals	10.72%
Power	6.96%
Consumer Non-Durables	6.02%
Cement	3.66%
Auto	3.49%
Ferrous Metals	3.14%
Commercial Services	2.18%
Healthcare Services	1.83%
Construction	0.52%
Others	0.63%

Others under sector disclosure include cash & cash equivalents.

Schemes Portfolio turnover ratio: 1.98

#### Expenses of the Scheme:

##### 1. Load Structure:

- Entry Load: Nil
- Exit Load: 2% - if redeemed/switched out, on or before 2 months from the date of allotment w.e.f. November 16, 2017

##### 2. New Fund offer expenses:

All initial issue expense shall be borne by AMC.

##### 3. Annual Recurring Expenses:

As per Regulation 52 (6) (c)(I) of SEBI Regulations, the maximum annual scheme recurring expenses including the investment management fees that can be charged to the Scheme is as follows:

Daily Net Assets (Rs.)	First 100 crores	Next 300 crores	Next 300 crores	Balance Assets
% per annum	2.5%	2.25%	2.00%	1.75%

The AMC has estimated the following annual recurring expenses on daily net assets of the Scheme. Further, any change in the expense ratio will be updated on our website and the same will be communicated to investor via SMS / e-mail 3 working days prior to the effective date of change. For the actual current expenses being charged, the investor should refer to the website:

<http://www.iiflmf.com/expenses-ratio>.

Particulars	(% of Daily Net Assets)
Investment Management and Advisory Fees, Trustee fee, Audit fees, Custodian fees, RTA Fees, Marketing & Selling expense incl. agent commission, Cost related to investor communications, Cost of fund transfer from location to location, Cost of providing account statements & dividend redemption cheques & warrants, Costs of statutory Advertisements, Cost towards investor education & awareness (at least 2 bps), Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades respectively, Goods & Service tax on expenses other than investment and advisory fees, Goods & Service tax* on brokerage and transaction cost, other expenses (including listing expenses)	Up to 2.50%
Maximum total expense ratio (TER) permissible under Regulation 52 (6)(c)(I) & (6) (a)	Up to 2.50%
Additional expenses under regulation 52 (6A) (c)	Up to 0.05%
^Additional expenses for gross new inflows from specified cities	Up to 0.30%

These estimates of Investment Management Fees and Expenses have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se, which may be more or less than estimated above. Any expenditure in excess of the said prescribed limit (including brokerage and transaction cost, if any) shall be borne by the AMC.

\* In addition to expenses under Regulation 52 (6) and (6A) of SEBI Regulations, AMC may charge Goods and Service Tax on Investment Management and Advisory Fees, expenses other than Investment Management and Advisory Fees and brokerage and transaction cost as below:

- Goods and Service Tax on Investment Management and Advisory Fees:  
AMC may charge Goods and Service Tax on Investment Management and Advisory Fees of the Scheme in addition to the maximum limit of TER as per the Regulation 52(6) and (6A) of SEBI Regulations.
- Goods and Service Tax on expenses other than Investment Management and Advisory Fees:  
AMC may charge Goods and Service Tax on expenses other than Investment Management and Advisory Fees of the Scheme, if any within the maximum limit of TER as per the Regulation under 52(6) and (6A) of SEBI Regulations. c.
- Goods and Service Tax on brokerage and transaction cost:  
The Goods and Service Tax on brokerage and transaction costs which are incurred for the purpose of execution of trade, will be within the limit of prescribed under Regulation 52 of SEBI Regulations.

^ Expenses not exceeding of 0.30 % Of daily net assets, if the new inflows from such cities as specified by the SEBI, from time to time are at least:

- 30 per cent of the gross new inflows into the scheme, or;
- 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher;

Provided that if inflows from such cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis. Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities. Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

Direct plan shall have a lower expense ratio excluding distribution expenses, commission etc and no commission shall be paid from such plan.

#### Transaction charges

SEBI has permitted a transaction charge to be paid to distributors, as detailed below:

- A transaction charge (presently Rs.100/-) on every subscription of or above a certain amount (presently Rs. 10,000/-) will be deducted from the subscription amount for an existing investor in mutual funds, and the balance subscription amount will be invested.
- In the case of an investor investing in mutual funds for the first time, a transaction charge (presently Rs. 150/-\*) on a subscription of or above a certain amount (presently Rs. 10,000/-) will be deducted from the subscription amount, and the balance subscription amount will be invested.

\*In the case of any applicable transaction, where the AMC/ Fund/ Registrar is unable to identify whether the investor concerned is a first-time investor in mutual funds, the transaction charge applicable to existing investors in mutual funds (presently Rs. 100/-) will be deducted from the subscription amount, and the balance will be invested.

- For subscriptions lesser than a certain amount (presently Rs. 10,000/-), no transaction charges will be deducted from the subscription amount, and the entire subscription amount will be invested. The transaction charge referred to in (i) and (ii) above will be payable only for transactions done through a distributor who has opted to receive the transaction charge.
- In the case of SIPs, the transaction charge shall be applicable only if the total commitment through SIPs, i.e. amount per SIP instalment x No. of instalments, is of or above a certain amount (presently Rs. 10,000/-). In such cases, the transaction charge will be recovered in 3-4 instalments.

#### Actual Expenses for the previous financial year:

- Regular Plan-2.59%
- Direct Plan-1.10%

#### Waiver of Load for Direct Application:

Not applicable

#### Tax Treatment for Investors (Unit Holders):

Investors are advised to refer to the detail in the Statement of Additional Information and also independently refer to his/ her tax advisor.

#### Daily Net Asset Value (NAV) Publication:

The NAV will be declared on all business days. NAV can also be viewed on [www.iiflmf.com](http://www.iiflmf.com) and also on AMFI website [www.amfiindia.com](http://www.amfiindia.com).

Further IIFL AMC will extend facility of sending latest available NAVs of the Scheme to the Unit holders through SMS upon receiving a specific request in this regard.

#### For Investor Grievances please contact

Name and address of Registrar:
Computer Age Management Services Pvt. Ltd. (CAMS) Regd. Office: No.178 (New No.10) M.G.R. Salai (formerly Known as Kodambakkam High Road), Nungambakkam, Chennai - 600 034
Contact Person Name, Address, Telephone No.,
Mr. Shawn Sequeira IIFL Asset Management Limited. IIFL Centre, 6th floor, Kamala City, S.B. Marg, Lower Parel, Mumbai - 400 013 Tel (91 22) 3958 5158 Fax: (91 22) 4646 4706 Email: <a href="mailto:service@iiflw.com">service@iiflw.com</a> Website: <a href="http://www.iiflmf.com">www.iiflmf.com</a>

Investors are advised to contact any of the ISCs or the AMC by calling the toll-free no. 1800-419-2267. Investors can also visit the website at [www.iifl.com](http://www.iifl.com) for complete details.

**Unit Holder's Information:**

**Account Statements:**

Account Statement will be sent to Investors opting to subscribe to/ hold units in physical form (i) by way of an e-mail and/or an sms to their registered e-mail address and or mobile number not later than 5 Business Days from the date of acceptance of the request for subscription, and (ii) a 'Consolidated Account Statement (CAS)' (to investors whose PAN details are updated). For investors who hold Units in dematerialized form, a demat statement shall be provided by the DP in such form and in such manner and at such time as provided in the agreement with the beneficial owner.

**Annual Financial Results and Half Yearly Portfolio:** IIFLAMC within one month from the close of each half year host a soft copy of unaudited financial results of schemes of IIFL Mutual Fund on its website and shall publish an advertisement disclosing the hosting of such financial results on its website, in at least one English daily newspaper having nationwide circulation and, in a newspaper, having wide circulation on in the language of the region where the Head Office of the Mutual Fund is situation. IIFLAMC shall disclose portfolio of the Scheme as on the last day of half year on website of Mutual Fund and AMFI within 10 days from the close of each half-year respectively. Further, the IIFL Mutual Fund shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi every half-year, disclosing the hosting of the half-yearly statement of the Scheme portfolio on the website of the Mutual Fund and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the statement of Scheme portfolio.



## IIFL Asset Management Ltd.

**Regd. Office: IIFL Center, 6<sup>th</sup> Floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai 400013 CIN: U74900MH2010PLC201113**

### **NOTICE-CUM-ADDENDUM TO THE SCHEME INFORMATION DOCUMENT (SID) AND KEY INFORMATION MEMORANDUM (KIM) OF IIFL FOCUSED EQUITY FUND (No. 14/2018)**

**NOTICE IS HEREBY GIVEN THAT** the exit load for IIFL Focused Equity Fund, an open ended equity scheme investing in maximum 30 multicap stocks of IIFL Mutual Fund, has been revised as under:

<b>Existing Exit Load Structure</b>	<b>Revised Exit Load Structure</b>
2% - if redeemed/switched out, on or before 2 months from the date of allotment.	4% - if redeemed/switched out, on or before 3 months from the date of allotment.

The revised exit load as mentioned above shall be applicable on a prospective basis and will be applicable to all investments made on or after August 02, 2018 ("effective date").

All prior investments shall continue to be subject to the load structure as may have been applicable at the time of their original investments. Revised exit load structure mentioned herein above shall be applicable prospectively, for all investments (including SIP/SWP/STP registered) from the effective date, and shall be in force till further notice.

This addendum shall form an integral part of the SID/KIM of IIFL Focused Equity Fund, as amended from time to time and all other features, terms and conditions as mentioned therein remain unchanged.

**Place : Mumbai**

**For IIFL Asset Management Ltd.**

Sd/-

**Date : August 1, 2018**

**Authorised Signatory**

**"Mutual Fund investments are subject to market risks, read all scheme related documents carefully."**



**APPLICATION FORM**

Application No. \_\_\_\_\_

Please read the instructions before filling the Application Form

**1. DISTRIBUTOR INFORMATION & APPLICATION RECEIPT DATE**

Distributor Name & ARN No.	Sub-Broker Code	Employee Unique Identification No.*	Date & Time of Receipt

\*Purpose of EUIN is to capture the identification of the sales person/employee/relationship manager of the distributor interacting with the investor, irrespective of whether the transaction is "Execution only" or "Advisory". However, in case of any exceptional cases where there is no such interaction, the investor can keep EUIN box blank and sign the following declaration;

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First/ Sole Applicant/ Guardian

Second Applicant

Third Applicant

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

**2. TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/ AGENTS ONLY**

In case the subscription amount is Rs.10,000/- or more and the Distributor has opted to receive Transaction charges Rs.150/- (for first time Mutual Fund investor) or Rs.100/- (for investor other than first time Mutual Fund investor) will be deducted from the subscription amount and paid to the Distributor. Units will be issued against the balance amount invested. Investors are advised to confirm if he/she is a First Time Mutual Fund Investor by selecting [please ✓] one of the options:-

First time Mutual Fund Investor  Existing Investor (Note: If this section is left blank, it is assumed that the Applicant(s) is not a First Time Investor and Transaction Charges shall be accordingly deducted)

**3. EXISTING UNITHOLDERS DETAILS (Please note that the applicant details and mode of holding will be as per the existing Folio Number) [Refer Instructions]**

Existing Folio No. \_\_\_\_\_

Name of Sole/ First Unit Holder \_\_\_\_\_

In case of Applicant(s) who already have a Folio in IIFL Mutual Fund, they can provide their folio number & first holder name in Section (3) and proceed to Section (6) of the Form.

**4. NEW APPLICANT'S DETAILS (Please fill in BLOCK LETTERS with black/blue ink, use one box for one alphabet leaving one box blank between two words)**

NAME OF FIRST / SOLE APPLICANT  Mr.  Ms [Note: No Joint holding permitted in case of minor applicant]

\_\_\_\_\_ AADHAAR \_\_\_\_\_

Date of Birth (Mandatory for Minor Applicant - \*Enclose Supporting Document)  D  D  M  M  Y  Y  Y  Y PAN \_\_\_\_\_

Guardian (Mandatory for Minor Applicant)  Mr.  Ms \_\_\_\_\_

Date of Birth  D  D  M  M  Y  Y  Y  Y PAN \_\_\_\_\_ Relationship with Minor Applicant  Father  Mother  Legal Guardian [Note: \*Enclose Supporting Document]

**FIRST/ SOLE APPLICANT OTHER DETAILS (Mandatory)**

**a. Status of First/ Sole Applicant [Please tick (✓)]  Individual  Non - Individual**

Resident Individual  NRI-Repatriation  NRI-Non Repatriation  Partnership  Trust  HUF  AOP  PIO  Company  FILs  Minor through guardian  BOI  OCI  Body Corporate  LLP  Society/ Club  Foreign National Resident in India  QFI  FPI  Sole Proprietorship  Non Profit Organisation  Others \_\_\_\_\_

**b. Occupation Details [Please tick (✓)]**

Service  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  Business  Retired  Agriculture  Proprietorship  Others \_\_\_\_\_

**c. Gross Annual Income (Rs.) [Please tick (✓)]  Below 1 Lac  1 - 5 Lacs  5 - 10 Lacs  10 - 25 Lacs  >25 Lacs - 1 Crore  >1 Crore OR**

**Net-worth** (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on  D  D  M  M  Y  Y  Y  Y (Not older than 1 year)

**d. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

I am PEP  I am Related to PEP  Not Applicable

**e. Non-Individual Investors involved/ providing any of the mentioned services**

Foreign Exchange/ Money Changer Services  Gaming/ Gambling/ Lottery/ Casino Services  Money Lending/ Pawning  None of the above

ADDRESS OF FIRST/ SOLE APPLICANT [P.O. Box Address is not sufficient]

\_\_\_\_\_ L A N D M A R K \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_ Pin Code \_\_\_\_\_

OVERSEAS ADDRESS (in case the First Applicant is NRI/FII/PIO) [P.O. Box Address is not sufficient] {Refer Instructions}

\_\_\_\_\_ \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Country \_\_\_\_\_ Zip Code \_\_\_\_\_

**CONTACT DETAILS OF FIRST/ SOLE APPLICANT (Please ensure that you fill in the contact details for us to serve you better)**

Name \_\_\_\_\_ Phone (O) \_\_\_\_\_  
Phone (R) \_\_\_\_\_ Mobile \_\_\_\_\_  I/We wish to receive updates via SMS on my mobile (Please ✓)  
Fax \_\_\_\_\_ e-mail \_\_\_\_\_ **I N B L O C K L E T T E R S**

I/We wish to receive the following documents via physical in lieu of e-mail document(s) [Please ✓]  Account Statement  Newsletter  Annual Report  All Statutory Returns / Information

MODE OF HOLDING (Please ✓)  Single  Jointly  Either/ Anyone or Survivor (Default Option : Joint)

NAME OF THE SECOND APPLICANT  Mr.  Ms \_\_\_\_\_

AADHAAR \_\_\_\_\_

Date of Birth  D  D  M  M  Y  Y  Y  Y PAN \_\_\_\_\_ Kindly ensure that Copy of PAN & KYC Acknowledgement Letter are enclosed to your Application Form

**a. Occupation Details [Please tick (✓)]**  Service  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  Business  Retired  Agriculture  Proprietorship  Others (please specify) \_\_\_\_\_

**b. Gross Annual Income ₹  Below 1 Lac  1 - 5 Lacs  5 - 10 Lacs  10 - 25 Lacs  >25 Lacs - 1 Crore  >1 Crore OR Net worth ₹ \_\_\_\_\_**

**c. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

I am PEP  I am Related to PEP  Not Applicable

... continued overleaf

**ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)**

ARN No: \_\_\_\_\_ Application No. \_\_\_\_\_

Received from \_\_\_\_\_

Cheque/ DD/ RTGS/ NEFT No. \_\_\_\_\_ Dated:  D  D  M  M  Y  Y  Y  Y

Drawn on Bank & Branch \_\_\_\_\_

Scheme/ Plan/ Option/ Sub-Option \_\_\_\_\_

Amount Rs. \_\_\_\_\_

Signature, Stamp & Date

Please Note : All purchases are subject to realisation of payment instrument. This acknowledgment slip is for your reference only. Information on the form will be considered final.

NAME OF THE THIRD APPLICANT  Mr.  Ms  AADHAAR

Date of Birth  PAN  Kindly ensure that Copy of PAN & KYC Acknowledgement Letter are enclosed to your Application Form

a. Occupation Details [Please tick (✓)]  Service  Private Sector  Public Sector  Government Service  Student  Professional  Housewife  Business  Retired  Agriculture  Proprietorship  Others (please specify)

b. Gross Annual Income ₹  Below 1 Lac  1 - 5 Lacs  5 - 10 Lacs  10 - 25 Lacs  >25 Lacs - 1 Crore  >1 Crore OR Net worth ₹

c. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)

I am PEP  I am Related to PEP  Not Applicable

5. FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual investors including HUF mandatorily fill separate FATCA/CRS details form

Sole/First Applicant/Guardian			2nd Applicant			3rd Applicant		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY
#Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.								
• If TIN is not available or mentioned, please mention reason as: 'A' if the country does not issue TINs to its residents, 'B' & mention why you unable to obtain a TIN; 'C' if the authorities of the country of tax residence entered above do not require the TIN to be disclosed.								
Country #	Tax Identification Number	Identification Type/Reason*	Country #	Tax Identification Type/Reason*	Identification Type/Reason*	Country #	Tax Identification Number	Identification Type/Reason*
1			1			1		
2			2			2		
3			3			3		

6. BANK ACCOUNT DETAILS (Mandatory) [Refer Instructions] (Details of bank account in which redemption, dividend or other payments to be credited.)

Bank Name (Do not abbreviate)   
Account No.  Branch / City   
Branch Address   
Pin Code  Account Type (Please ✓) For Residents  Savings  Current  For Non-Resident  NRO  NRE  Others   
MICR Code\*  RTGS/ NEFT / IFSC\* Code  (IFSC/ NEFT code required for Direct credit)

Please also provide a cancelled cheque leaf of the same bank account as mentioned above incase the bank account details differ from investment bank account details given in Section (7). IIFL Mutual Fund shall not be held responsible for delays or errors in processing your request if the information provided is incomplete or inaccurate. [\* indicates - Mandatory]

7. PAYMENT DETAILS (Mandatory) [Refer Instructions] (Details of account from which investment has been done.)

(I) Investment Amount\*  (II) DD Charges  Net Amount (I)+(II)   
Mode of Payment (Please ✓)  Cheque  DD  RTGS  NEFT  ECS  Funds Transfer \*Cheque / DD / RTGS / NEFT No.   
Account Type (Please ✓)  Savings  Current  NRE  NRO  FCNR  NRSR Dated   
Payment from  Name of 1st Bank A/c holder   
Bank A/c. No.  Name of 2nd Bank A/c holder   
Drawn on Bank  Name of 3rd Bank A/c holder   
Branch & City

Third Party Payment  No  Yes (If YES then please attach 'Third Party Declaration Form' as available on our website www.iiflmf.com)

Please enclose relevant documents as indicated below as per the Mode of Payment: (Please ✓) RTGS / NEFT / ECS / Bank Transfer  Instruction to the Bank from the Unit holder to Debit the Account.  DD/ Pay Order/ Banker's Cheque and the like -  Declaration / Acknowledgement from Bank  Copy of Passbook/ Bank Statement

\* Please mention the Application No., PAN and Name of the First Unit holder on the reverse of the Payment Instrument. To prevent fraudulent practices Investors are urged to make the Payment Instruments favouring "Name of the Scheme A/c. First Investor Name" OR "Name of the Scheme A/c. Permanent Account Number" OR "Name of the Scheme A/c. Folio Number" and the same should be crossed "Account Payee Only" \* To be filled in by investors residing at the location, where the AMC Branches/CAMS Investor Service Centres are not located.

8. INVESTMENT DETAILS (Please ✓) Choice of Scheme/ Plan/ Option) - Please ensure there is only one cheque/DD per application form

IIFL Focused Equity Fund  Direct  Growth (Default Growth)  Dividend Reinvestment (Default Reinvestment)   
 Regular  Dividend  Dividend Payout

9. Electronic Communication

"Opt-In" Receive Physical copy of Annual Report  "Opt-Out" Receive Electronic copy of Annual Report (Default)

10. SIP

Frequency (Please ✓)  Monthly (Default)  Quarterly SIP Date:  1<sup>st</sup>  7<sup>th</sup> (Default)  14<sup>th</sup>  21<sup>st</sup> (Select any one SIP Date)  Micro SIP

Please fill SIP Registration Form enclosed herewith for investment through SIP.

11. NOMINATION (Please ✓ and confirm the option selected) - Please Refer Instructions

I/We do hereby nominate the undermentioned Nominee to receive the Units allotted to my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be valid discharge by the AMC/ Mutual Fund/ Trustees. In case of units held in demat mode, the nomination under demat account will be considered.

NOMINEE'S NAME  Mr.  Ms  Date of Birth (in case of minor)   
NAME OF PARENT/ LEGAL GUARDIAN (in case of minor)  Mr.  Ms   
ADDRESS OF NOMINEE/ GUARDIAN   
OR City  Pin Code  Specimen Signature of Nominee / Guardian

I/We do not wish to nominate a nominee in my/our folio. For more than one nominee, please use nomination form.

Signature of 1st Unit Holder

Signature of 2nd Unit Holder

Signature of 3rd Unit Holder

12. DOCUMENTS ENCLOSED (Please ✓)

MOA & AOA  Trust Deed  Bye-Laws  Partnership Deed  Resolution/ Authorisation to invest  List of Authorised Signatories with Specimen Signature(s)  POA

13. Demat Account Details (Optional) (Refer instructions)

NSDL	CDSL
DP Name: <input type="text"/>	DP Name: <input type="text"/>
DP ID*: <input type="text"/> Beneficiary Account No. <input type="text"/>	Beneficiary Account No. <input type="text"/>

The Applicant may note that incase the DP ID, Client ID and PAN Number mentioned in the Form do not match with DP ID, Client ID and PAN Number disclosed in Depository Data Base the Application is liable to be rejected.



IIFL Mutual Fund  
IIFL Centre, 6th Floor, Kamala City,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400 013

For investment related enquiries, Investor Grievance please contact  
**IIFL Mutual Fund**  
Mr. Shawn Sequeira, IIFL Centre, 6th Floor, Kamala City,  
S. B. Marg, Lower Parel, Mumbai - 400 013  
Tel.: (91 22) 3958 5158 Fax: (91 22) 4646 4706 Toll Free: 18004192267  
Email: service@iiflw.com • Website: www.iiflmf.com

CHECK LIST: Please ensure the following : Application form is complete in all respects and signed by all Applicants Bank Account details are filled Copy of PAN card Copy of Know Your Customer (KYC) Acknowledgement letter issued by CDSL Ventures Ltd / printout of KYC compliance status downloaded from CVL website, as applicable Appropriate options are filled To prevent fraudulent practices investor are urged to make the Payment Instruments favouring "Name of the Scheme A/c. First Investor Name" OR "Name of the Scheme A/c. Permanent Account Number" OR "Name of the Scheme A/c. Folio Number" and the same should be crossed "Account Payee Only". If you are investing for the first time, please ensure that you fill in the contact details for us to serve you better.





# INSTRUCTIONS FOR FILLING APPLICATION FORM

## 1. GENERAL INSTRUCTIONS

- i. Please read the terms of the Key Information Memorandum and the Scheme Information Document (SID) and Statement of Additional Information (SAI) carefully before filling the Application Form. Investors should also apprise themselves of the prevailing Load structure on the date of submitting the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- ii. Application form should be completed in English and in BLOCK LETTERS. Please tick in the appropriate boxes wherever applicable.
- iii. The signature should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Applications by minors should be signed by the guardians. In case of H. U. F. the Karta should sign on behalf of the H. U. F.
- iv. The application complete in all respects along with the cheque must be submitted to the nearest designated Investor Service Centre. Applications incomplete in any respect or not accompanied by cheque of the amount payable are liable to be rejected and the money paid will be refunded without interest.
- v. No receipt will be issued for the application money. The designated Investors Service Centre will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.
- vi. All cheques must be drawn in favour of "Name of the Scheme A/c. 1" Investor Name" "Name of the Scheme A/c. Permanent Account Number" or "Name of the Scheme A/c. Folio Number" account and crossed "Account Payee Only". A separate cheque must accompany each application.
- vii. Investors already holding a folio in IIFL Mutual Fund can provide their existing Folio Number and Name of applicants(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as they feature in the existing folio in our records would apply, provided these details match with that in your demat account held with a Depository Participant. The details in your demat account would prevail over any conflicting information furnished in this form. Unit holders' name should be in the same sequence as appearing in your demat account, failing which the Application Form is liable to be rejected.
- viii. The applicant details mentioned in Section 1, should be the same as appearing in demat account held with a Depository Participant.

## 2. INVESTOR PARTICULARS

- i. Name and address must be given in full. P.O. Box address is not sufficient. In case of NRIs/ FII investors an overseas address must be provided.
- ii. Name of Guardian must be mentioned if investments are being made on behalf of a minor and the same should be as provided in your demat account. Date of birth is mandatory in case of minor.
- iii. In case of an application under Power of attorney or by a limited company, body corporate, registered society, trust or partnership, etc the relevant Power of attorney or the relevant resolution or authority to make the application as the case maybe, or duly notarised copy thereof, along with the Memorandum and Articles of Association/ By Laws must be lodged with the application form.
- iv. Documentation to be submitted by Corporate Investors/Societies/ Trusts/ Partnership Firms/ FIs

Documents	Companies	Societies	Partnership Firms/ LLP	Investments through POA	Trusts	NRI	FII	Individual
Resolution/ Authorisation to invest	✓	✓	✓	✓	✓	✓	✓	✓
List of Authorised Signatories with Specimen signature(s)	✓	✓	✓	✓	✓	✓	✓	✓
Memorandum & Articles of Association	✓							
Trust Deed					✓			
Bye-laws		✓						
Partnership Agreement/ Partnership Deed			✓					
Overseas Auditors' Certificate							✓	
Notarised Power of Attorney				✓				
Bank confirmation of Non-Resident Account Type/ FIRC						✓		
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓
Copy of KRA acknowledgment letter	✓	✓	✓	✓	✓	✓	✓	✓
Cancelled cheque	✓	✓	✓	✓	✓	✓	✓	✓

The Power of Attorney should necessarily be signed by both the investor and the constituent Power of Attorney. Where only uncertified photocopies of the documents are submitted/ attached to the application form, the onus for authentication of the documents so submitted shall be on investors and the IIFLAMC/ MF will accept and act in good faith on uncertified/ not properly authenticated documents submitted/ attached with the application form. Submission of such documents by investors shall be full and final proof of the non individual investor's authority to invest and the IIFLAMC/MF shall not be liable under any circumstances for any defects in the documents so submitted.

Non-individual investors are required to ensure that the object clause of the constitution document (viz. MOA /AOA/ Trust Deed, etc.) permits investment in the scheme(s) of IIFL Mutual Fund. IIFLAMC/ IIFLMF shall accept and process the applications made by these entities in good faith by relying on the undertaking given with respect to the authority, validity and compliance with all relevant formalities/conditions etc., in the application for making such investments with IIFL Mutual Fund. Further, IIFLAMC/ IIFLMF/ Trustees or any of its affiliates shall not be liable in case of any dispute arising with respect to eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity, as applicable.

- v. Applicants can specify the mode of holding in the application form as "Single" or "Joint" or "Anyone or Survivor". In the case of holding specified as "Joint", all requests / transactions would have to be signed by all unit holders. However, in cases of holding specified as "Anyone or Survivor", any one of the unit holders will have the power to make all necessary requests, without it being necessary for all the unit holders to sign. In the event the account has more than one registered unit holders and the mode of holding is not specified in the application form, the default option for holding would be considered to be Joint. However, in all cases, the proceeds of all redemption will be paid to the first named holder. All communications will also be sent to the first named holder.

## 3. BANK AND PERMANENT ACCOUNT NUMBER DETAILS

**Bank Details:** In order to protect the interest of investors from fraudulent encashment of cheques, the SEBI Regulations have made it mandatory for investors to mention in their application/ Redemption request, the bank name and account number. In case of Unit Holders opting to hold units in demat mode they should provide the details of bank account linked to their demat account provided under Section 9 of this application form. The bank mandate available with respective Depository Participant will be treated as the valid bank mandate for the purpose of payouts for redemptions/dividend proceeds or at the time of any corporate action. Unit holders under the Scheme will be required to follow the procedure for change in bank mandate as per the instructions given by their respective Depository Participants. In case of QFI, designated overseas bank details to be provided.

**PAN Details:** It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted to IIFL Mutual Fund for verifying that they are residents of State of Sikkim.

## 4. MODE OF PAYMENT

- i. Resident investors may make payment by cheque payable locally in the city where the application form is submitted at the local IIFL Asset Management Limited Offices/ Authorised Collection Centres.
- ii. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
- iii. Investors residing in Centres, where the Investors service Centres of the mutual fund are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/ authorised centres & DD Charges are mentioned in the form. The maximum charges so borne by the AMC would be restricted to limits as prescribed by SEBI.
- iv. For all mode of payments, details of source account, source bank name and source branch name should be mentioned.
- v. Restriction on acceptance of Third Party Payment:
  - a) Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norms under Prevention of Money Laundering Act, 2002 (PMLA), IIFL Asset Management Limited (IIFLAMC/ IIFL Mutual Fund (IIFLMF) shall not accept applications for subscriptions of units accompanied with Third Party Payments, except in the cases as enumerated below in para (c).
  - b) "Third Party Payment" means payment through an instrument issued from a bank account other than that of the beneficiary investor. In case of payments from a joint bank account, the first named investor/holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.
  - c) IIFLAMC shall not accept subscriptions accompanied with Third Party Payments except in the following exceptional situations subject to submission of requisite documentation/declarations enumerated in para (d) below:
    - i. Payment by Parents/Grand-Parents/Related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹50,000/- (each regular purchase) However, this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio as a guardian.
    - ii. Custodian on behalf of an FII or a client.

- iii. Payment by employer on behalf of employee under SIP or lumpsum through payroll deductions.
- d) In case of 'exceptional situations' mentioned above, investors are required to submit following documents/ declarations along with the application form without which such applications will be rejected/ not processed/refunded:
  - i. Mandatory KYC for All Investors (guardian in case of minor) and the person making the payment i.e. third party. In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC Acknowledgment Letter to the application form.
  - ii. A separate, complete and valid 'Third Party Payment Declaration Form', inter alia, containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e. Third Party. Please contact the nearest Investor Service Centre (ISC) of IIFLAMC or visit our website www.iiflmf.com for the said Declaration Form.
- e) Investors are requested to note that, in case of:
  - i. **Payment by Cheque:** An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account into which redemption/dividend proceeds are to be paid). If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/ investor should submit any one of the following documents:
    - a. a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;
    - b. a letter\* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).
  - # Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/ Official Points of Acceptance of IIFLAMC. The copy of such documents will be verified with the original documents to the satisfaction of the IIFLAMC/ IIFLMF. The original documents will be returned across the counter to the investor after due verification.
  - \* In respect of (b) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

- ii. **Payment by Pre-funded Instrument:** (1) If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Bankers cheque, etc., a Certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unit holder should be one of the account holders to the bank account debited for issue of such instruments. Investors may also submit a copy of the acknowledgment from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder, or a copy of the passbook/ bank statement evidencing the debit for issuance of a DD, provided bank account number has to match with the details provided in the application form and name should match with the name of the first named unit holder.
  - (2) A pre-funded instrument issued by the Bank against Cash shall not be accepted for investments of ₹50,000/- or more. This also should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the payment instrument. The name mentioned on the Certificate should match with the name of the first named unit holder and certificate must state such investor's bank account number and PAN as per bank record, if available.
- The Certificate(s) mentioned in (1) and (2) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.
- iii. **Payment by RTGS, NEFT, ECS, Bank transfer, etc:** A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named unit holder should be one of the account holders to the bank account. In case the application for subscription does not comply with the above provisions, IIFLAMC/ IIFLMF retains the Sole and absolute discretion to reject/ not process such application and refund the subscription money and shall not be liable for any such rejection.

## 5. NRI INVESTORS

**Repatriation basis:** Payments by NRIs/FIs may be made by way of Indian rupee drafts purchased abroad or out of funds held in NRE/ FCNR account or by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centres are located. In case of Indian rupee drafts purchased and subscriptions through NRIs/ FCNR account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. All applications must be accompanied by FIRC.

**Non Repatriation basis:** NRIs investing on a non repatriable basis may do so by issuing cheques/ demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centres are located.

## 6. NOMINATION

Nomination will be maintained at folio level. Applicant who do not wish to nominate must, at the designate space, confirm their non intention to nominate, failing which the form may be rejected at the discretion of the fund/AMC. Please refer SAI for complete detail.

## 7. COMMUNICATION

Allotment advice, Account Statements, Quarterly Newsletter, Annual Reports and Transaction Confirmation can be sent to Unit holders by post / e-mail. Should the Unit holder experience any difficulty in accessing in the electronically delivered documents, the unit holder shall promptly inform the same to the Mutual Fund. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

## 8. DEMAT ACCOUNT DETAILS

An investor intending to invest in the scheme in demat mode is required to have a beneficiary account with a Depository Participant (DP) (registered with NSDL/ CDSL) and will be mandatorily required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant held with the DP. Applicants must ensure that the sequence of names as mentioned in the Application Form matches to that of the demat account held with the Depository Participant. Names, PAN details, KYC details etc. mentioned in the Application Form will be verified against the Depository data. Only those applications where the details are matched with the depository data, will be treated as valid applications. If the details mentioned in the application are incomplete / incorrect, not matched with the depository data, the application shall be treated as invalid and shall be liable to be rejected.

## 9. KNOW YOUR CLIENT (KYC)

All Applicants (including POAs and Guardians) are required to be KYC compliant irrespective of the amount of investment. In case you are not the KYC certified, please fill in the KYC form (individual or Non-Individual). A KYC acknowledgement letter should be submitted along with application for opening a folio or making an investment. Each holder in the folio must be KYC compliant.

Investors may kindly note that new SEBI Circular issued regarding uniformity in the KYC process was effective from January 1, 2012.

1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries, new Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds.
2. The Mutual Fund shall perform the initial KYC of its new investors and shall also accept the details change form for investors who have done their KYC prior to December 31, 2011.
3. It is mandatory to carry out In-Person Verification (IPV) for processing the KYC of its new / existing investors from January 1, 2012.
4. Once the KYC and IPV-In Person Verification has been done with any SEBI registered intermediary, the investor need not undergo the same process again with any another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC/additional KYC of the investor.
5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice.
6. Non-individual investors will have to do a fresh KYC due to significant changes in KYC requirements.
7. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/network and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc. (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

## Ultimate Beneficial Owners(s)

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, Investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))' in the separate format enclosed with this form. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (ISC) of IIFL Mutual Fund or visit our website.

## 10. APPLICATIONS NOT COMPLETE IN ANY RESPECT ARE LIABLE TO BE REJECTED.



## INSTRUCTIONS cum TERMS AND CONDITIONS

### SIP payment through NACH/ECS/SI/Auto Debit

- 1) The bank account provided for NACH/ECS/SI/Auto Debit should be participating in MICR and NACH clearing respectively.
- 2) In case of "At Par" cheques, investors need to mention the MICR number of his/her actual bank branch. In case MICR code is not provided or incorrect code is mentioned on the application form, the application for SIP will be liable to be rejected.
- 3) The investor agrees to abide by the terms and conditions of NACH/ECS/Direct Debit facility of Reserve Bank of India/Banks. IIFL Mutual Fund/ IIFLAMC, its registrars and other service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold IIFLAMC, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of Auto Debit/ local holidays.
- 4) Please read the Key Information Memorandum, Statement of Additional Information (SAI) and Scheme Information Document (SID) of respective Scheme(s) carefully before investing.
- 5) Existing investors are not required to submit the Investment Application form atleast 30 days before the first SIP Installment date for SIP Registration. The date of subsequent SIP Installment shall be 1st, 7th, 14th & 21st of a particular month, after completing a minimum time gap of 30 days from the date of allotment of the 1st SIP Installment amount.  
New Investors are required to submit the following documents:
  - a) Investment Application Form with SIP Registration Form.
  - b) The Initial investment amount cheque should be issued from the same bank account which is to be debited under ECS/ Direct Debit for SIP installments.
  - c) A photo copy/ cancelled cheque from ECS Debit Account (as mentioned on the application form should be submitted along with other requirements.
- 6) An investor can opt for Monthly or Quarterly frequency. SIP Auto debit is available only on specific dates of the month i.e. 1st or 7th or 14th or 21st. In cases where an investor opts for SIP facility without mentioning the date of installment, 7th will be considered as the default date. An investor shall have the option of choosing for 1 or more than 1 SIP in the same scheme and in the same month. SIP debit dates shall be 1st, 7th, 14th or 21st. To register multiple SIPs in the same scheme/ same month, please submit separate SIP Registration Form.
- 7) If the SIP Debit is a non- Business Day for the scheme, then the units shall be allotted on the next Business Day.
- 8) For SIP through monthly option - Rs.1000/- per month for a minimum period of six months. For Quarterly Option - Rs.1500/- per quarter for a minimum period of 4 quarters. Investments above the minimum amount mentioned, shall be made in multiples of Rs.100/- for all SIP irrespective of frequency of SIP or the Option.
- 9) Modification/Cancellation of SIP : You can request for a modification/cancellation of your SIP at any time. Your modification/cancellation request should be submitted 30 days prior to the next Auto Debit date. Any modification request should be accompanied by : (i) A new SIP duly filled in and carrying the revised SIP request details (ii) A written and signed confirmation for discontinuance of existing SIP.
- 10) For MICRO SIP maximum SIP amount of Rs.50000/- per year per investor shall be exempt from the requirement of PAN.
- 11) SIP is available in selected schemes of IIFL Mutual Fund. The initial cheque should be drawn in favor of the "Name of The Scheme A/c. First Investor Name" or "Name of The Scheme A/c. Permanent Account Number" or "Name of The Scheme A/c. Folio Number" and marked "Account Payee" payable locally in the city where the application is submitted. Post dated or outstation cheques/ draft are not permitted. Application received with outstation cheque/ demand draft shall be rejected.
- 12) "Perpetual SIP" will be the default option in case end date for enrolment period is not provided. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished. In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be perpetual, till instruction to the contrary is received from the investor.
- 13) Allotment of units would be subject to realization of credit.
- 14) The Unit holders can choose to opt out from the SIP at any point of time by submitting a written request to the nearest investor service center. Such request for discontinuation should be received at least 30 days prior to the next due date of the SIP. On receipt of such a request, the SIP will be discontinued for the respective scheme(s).
- 15) The SIP Registration Form along with Application Form in all respects should be submitted at any of the Investor Service Centers.
- 16) Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
- 17) IIFLAMC reserves the right to reject any application without assigning any reason thereof. IIFLAMC in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- 18) If the investment is done on behalf of the minor then the guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Investment Plan (SIP) and any other options, after the date of the minor attaining majority till the documents for the change in status are provided. In case of existing SIP registered prior to the minor attaining majority, the systematic investment will be continued to be processed beyond the date of the minor attaining majority and till the time a instruction from the major to terminate the SIP is received along with the required documents for change in the status of the folio.
- 19) SIP enrollment will be discontinued by IIFL Asset Management Limited (AMC) in case [a] the SIP installment is not honored consecutively for three SIP installments [b] the Bank account [for ECS (Debit Clearing) is closed and the request for change in bank account / Bank Branch is not submitted by the concerned unit holder at least 30 working days before the due date of next SIP installment.
- 20) Investors will not hold AMC, IIFL Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP start or end date.
- 21) The SIP facility would be made available by the company through a system made available through Bill Desk payment services provided by India Ideas.com Ltd.

### Instructions to fill Auto Debit Form and Terms & Conditions :

#### • Following fields need to be filled mandatorily :

1. Date : in format DD/MM/YYYY
2. Bank A/c Type : Tick the relevant box
3. Fill bank account number
4. Fill name of Destination Bank
5. IFSC/MICR code : Fill respective code
6. Default is maximum amount
7. Reference 1 : Mention Folio number
8. Reference 2 : Mention Application number
9. Period : Starting date of NACH registration (in format DD/MM/YYYY)
10. Telephone number : Optional
11. E-mail ID
12. Signature as per bank account
13. Name : Mention Holder name as per Bank record



**LIST OF DESIGNATED COLLECTION CENTRES  
AMC Offices**

IIFL Asset Management Ltd (Formerly India Infoline Asset Management Company Ltd.), IIFL Centre, 6th Floor, Kamala City, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013

**CAMS Collection Centres**

**Belgaum:** CAMS SERVICE CENTRE, Classic Complex, Block No.104, 1st Floor, Saraf Colony, Khanapur, Talikwadi, Belgaum-590006 **Berhampur:** Kalika temple Street, Adjacent To SBI Bazar Branch, Berhampur, Dist-Ganjam-760002 **Bhavnagar:** 305-306, Sterling Point, Waghwadi Road, Opp. HDFC BANK, Bhavnagar Gujarat 364002 **Bhilai:** CAMS SERVICE CENTRE, 1<sup>st</sup> Floor, Plot No.3, Block No.1, Priyadarshini Pariswar west, Behind IDBI Bank, Nehru Nagar, Bhilai-490020 **Bhilwara:** C/o Kodwani Associates, Shope No 211-213 2nd Floor Indra Prasth Tower syam Ki Sabji Mandi Near Mukerjee Garden Bhilwara-311001 (Rajasthan) **Bhopal:** Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh 462011 **Bokaro:** Mazzanine Floor F-4, City Centre, Sector 4, Bokaro Steel City, Bokaro, Jharkhand, 827004 **Burdwan:** CAMS SERVICE CENTER, No.399, G T Road, 1<sup>st</sup> floor, above exide show room, Burdwan West Bengal 713101 **Calicut:** 29/97G 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam, Calicut Kerala-673016 **Cuttack:** Near Indian Overseas Bank Cantonment Road, Mata Math, Cuttack, Orissa, 753001 **Davangere:** 13, 1st Floor, Akkamahadevi Samaj Complex Church Road, P.J. Extension, Davangere, Karnataka, 577002 **Dehradun:** 204/121 Nari Shilp Mandir Marg (1st Floor) Old Connaught Place, Chakrata Road, Dehradun, Uttarakhand, 248001 **Dhanbad:** Urmila Towers, Room No: 111(1st Floor) Bank More, Dhanbad, Jharkhand, 826001 **Erode:** 197, Seshaiyer Complex, Agraharam Street, Erode, Tamil Nadu, 638001 **Faridabad:** B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House NIT, Faridabad, Haryana, 121001 **Ghaziabad:** CAMS SERVICE CENTRE, B-11, LGF RDC, Rajnagar, Opp Kacheri Gate No.2, Ghaziabad-201002 **Gorakhpur:** Shop No. 3, Second Floor, The Mall Cross Road, A.D. Chowk Bank Road Gorakhpur Uttarpradesh-273001 **Guntur:** CAMS SERVICE CENTRE, Door No.6-4-28, 1st Floor, Above prestige Showroom, 4/2, Arundalpet, Guntur-522002 **Gurgaon:** SCO - 16, Sector - 14, First floor, Gurgaon, Haryana, 122001 **Guwahati:** CAMS SERVICE CENTRE, Piyali Phukan Road, K.C.Path, House No.1, Rehabori, Guwahati-781008 **Gwalior:** G-6 Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre: Gwalior Madhya Pradesh-474002 **Hubli:** No.204 - 205, 1st Floor' B' Block, Kundagol Complex, Opp. Court, Club Road, Hubli, Karnataka, 580029 **Jabalpur:** 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, Madhya Pradesh, 482001 **Jalandhar:** 367/8, Central Town, Opp. Gurudwara, Diwan Asthan, Jalandhar, Punjab-144001 **Jalgaon:** Rustomji Infotech Services 70, Navipeth, Opp. Old Bus Stand, Jalgaon, Maharashtra, 425001 **Jamnagar:** 207, Manek Centre, P N Marg, Jamnagar, Gujarat, 361001 **Jamshedpur:** Millennium Tower, "R" Road, Room No:15 First Floor, Bistupur, Jamshedpur, Jharkhand, 831001 **Jodhpur:** 1/5, Nirmal Tower, 1<sup>st</sup> Chopasani Road, Jodhpur, Rajasthan, 342003 **Kolhapur:** 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, Maharashtra, 416001 **Kota:** B-33 'Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota, Rajasthan, 324007 **Kottayam:** CAMS SERVICE CENTRE, THAMARAPALLIL Building, Door No-XIII/658, M L Road, Near KSRTC Bus Stand Road, Kottayam-686001 **Meerut:** 108 1st Floor Shivam Plaza, Opp: Eves Cinema, Hapur Road, Meerut, Uttar Pradesh, 250002 **Moradabad:** H 21-22, 1st Floor Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad-244001 **Muzaffarpur:** Brahman Toli, Durgasthan, Gola Road, Muzaffarpur, Bihar, 842001 **Mysore:** No.1, 1st Floor, CH.26 7th Main, 5th Cross (Above Trishakthi Medicals), Saraswati Puram, Mysore, Karnataka, 570009 **Nasik:** CASM SERVICE CENTRE, 1st Floor, "Shradha Niketan", Tilak Wadi, Opp Hotel City Pride, Sharanpur Road, Nasik-422002 **Nellore:** 97/56, I Floor, Immadisetty Towers, Ranganayakulapet Road, Santhapet, Nellore, Andhra Pradesh, 524001 **Panipat:** SCO 83-84, First Floor, Devi Lal Shopping Complex, Opp RBL Bank, G.T.Road, Panipat, Haryana, 132103 **Patiala:** CAMS SERVICE CENTRE, SCO-17, Opposite Amar Ashram, Near Hotel Polo Club, Lower Mall, Patiala-147001 **Pondicherry:** S-8, 100, Jawaharal Nehru Street (New Complex, Opp. Indian Coffee House), Pondicherry, Pondicherry, 605001 **Raipur:** HIG, C-23 Sector -1 Devedra Nagar, Raipur, Chhattisgarh, 492004 **Rajahmundry:** Door No: 6-2-12, 1st Floor, Rajeswari Nilayam, Near Vamsikrishna Hospital, Nyaipathi Vari Street, T Nagar, Rajahmundry, Andhra Pradesh, 533101 **Rajkot:** Office 207 - 210, Everest Building Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, Gujarat, 360001 **Ranchi:** 4, HB Road No: 206, 2nd Floor Shri Lok Complex, H B Road Near Pirayal, Ranchi, Jharkhand, 834001 **Rourkela:** 1st Floor, Mangal Bhawan Phase II, Power House Road Rourkela, Orissa, 769001 **Salem:** No.2, I Floor Vivekananda Street, New Fairlands, Salem, Tamil Nadu, 636016 **Sambalpur:** C/o Raj Tibrewal & Associates, Opp.Town High School, Sansarkar Sambalpur, Orissa, 768001 **Siliguri:** CAMS SERVICE CENTRE, No.78, Haren Mukherjee Road, 1st Floor, Beside SBI Hakimpara, Siliguri-734001 **Tirupati:** 1(1), Binny Compound, II Street, Kumaran Road, Tirupur, Tamil Nadu, 641601 **Tirunelveli:** 1 Floor, Mano Prema Complex 182 / 6, S.N High Road, Tirunelveli, Tamil Nadu, 627001 **Trichur:** Room No. 26 & 27 Dee Pee Plaza, Kokkalai, Trichur, Kerala, 680001 **Trichy:** No 8, I Floor, 8th Cross West Extn, Thillainagar, Trichy, Tamil Nadu, 620018 **Trivandrum:** R S Complex, Opp of LIC Building, Pattom PO, Trivandrum, Kerala, 695004 **Udaipur:** CAMS PVT LTD, Shree Kalyanam, 50 Tagore Nagar, Sector-4, Hiranmagri, Udaipur-313001 **Valsad:** 3rd floor, Gita Nivas, opp Head Post Office, Halar Cross Lane, Valsad, Gujarat, 396001 **Varanasi:** Office no 1, Second floor, Bhawan Market, Building No. D-58/2-A1, Rathyatra Beside Kuber Complex, Varanasi, Uttarpradesh-221010 **Vellore:** No.1, Officer's Line 2nd Floor, MNR Arcade, Opp. ICICI Bank, Krishna Nagar, Vellore, Tamil Nadu, 632001 **Warangal:** Hno. 2-4-641, P-7, 1st Floor, A.B.K Mall, Old Bus Depot Road, Rannagar, Hanamkonda, Warangal, Telangana- 506001 **Balasure:** B C Sen Road, Balasure, Orissa, 756001 **Jammu:** JRDs Heights, Lane Opp. S&S Conductors Near RBL Building, Sector 14, Nanak Nagar Jammu, Jammu & Kashmir, 180004 **Bellary:** 60/5, Mullangi Compound, Gandhinagar Main Road (Old Gopalswamy Road) Bellary, Karnataka, 583101 **Navsari:** C/O Vedant Shukla Associates, 16 Shivani Park, opp, Shankeshwar complex, Kaliawadi, Navsari 396 445 **Rohtak:** CAMS SERVICE CENTRE, SCO-34, Ground Floor, Ashoka Palaza, Delhi Road, Rohtak-124001 **Tirupati:** Shop No: 6, Door No: 19-10-8, (Opp to Passport Office), AIR Bypass Road, Tirupati-517501, Andhra Pradesh **Kalyani:** Kalyani, A - 1/50, Block A, Kalyani - Nadia Dt, PIN- 741235 **Bhuj:** Data Solution, Office No:171st Floor, Municipal Building, Opp Hotel Prince, Station Road, Bhuj, Gujarat, 370001 **Silapuri:** Flat No 109, 1st Floor, A Wing, Kalyani Tower 126 Siddheswar Peth, Near Pangal High School, Solapur, Maharashtra, 413001 **Junagadh:** "Aastha Plus", 202-A, 2nd Floor, Sardarbag Road, Nr. Alkapuri, Opp. Zansi Rani Statue, Junagadh Gujarat-362001 **Ankleshwar:** Shop No - F -56 First Floor, Omkar Complex, Opp Old Colony, Nr Valia Char Rasta, GIDC, Ankleshwar, Gujarat, 393002 **Kollam:** Kochupillamoodu Junction, Near VLC, Beach Road, Kollam, Kerala, 691001 **Jhansi:** No.372/18D, 1<sup>st</sup> Floor Above IDBI Bank, Beside V-Mart, Near RAKSHAN, Gwalior Road, Jhansi-284001 **Aligarh:** City Enclave, Opp. Kumar Nursing Home Ramghat Road Aligarh Uttarpradesh-202001 **Satara:** 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara, Maharashtra, 415002 **Kumbakonam:** Jailani Complex 47, Mutt Street, Kumbakonam, Tamilnadu, 612001 **Bhagalpur:** Krishna, I Floor, Near Mahadev Cinema, Dr. R. P. Road, Bhagalpur, Bihar, 812002 **Bareilly:** CAMS SERVICE CENTRE, D-61, Butler Plaza Commercial Complex Civil Lines Bareilly Uttarpradesh-243001 **Akola:** Opp. RLT Science College Civil Lines, Akola, Maharashtra, 444001 **Amalapur:** 124-B/R, Model Town, Yamuna Nagar, Yamuna Nagar, Haryana, 135001 **Deoghar:** S S M Jalan Road Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar, Jharkhand, 814112 **Karimnagar:** HNo:7-1-257, Upstairs S B H Mangammathota, Karimnagar, Telangana, 505001 **Kadapa:** Bandi Subbaramaiah Complex, D.No:3/1718, Shop No: 8, Raja Reddy Street, Kadapa, Andhra Pradesh, 516001 **Shimla:** I Floor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla, Himachal Pradesh, 171001 **Kannur:** Room No.PP.14/435 Casa Marina Shopping Centre Talap, Kannur, Kerala, 670004 **Mehsana:** 1st Floor, Subhadra Complex Urban Bank Road, Mehsana, Gujarat, 384002 **Hazaribag:** Municipal Market, Annanda Chowk, Hazaribag, Jharkhand, 825301 **Anantapur:** 15-570-33, I Floor, Pallavi Towers, Subash Road, Opp Canara Bank, Anantapur, Andhra Pradesh, 515001 **Kurnool:** CAMS SERVICE CENTRE, Shop No.26 and 27, Door No.39/265A and 39/265B, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39<sup>th</sup> Ward, Kurnool-518001 **Hisar:** 12, Opp. Bank of Baroda, Red Square Market, Hisar, Haryana, 125001 **Sri Ganganagar:** 18 L Block Sri Ganganagar, Rajasthan, 335001 **Bhatinda:** 2907 GH, GT Road, Near Zila Parishad, Bhatinda, Punjab, 151001 **Shimoga:** No.65 1st Floor Kishnappa Compound 1st Cross, Hosmane Extn, Shimoga, Karnataka, 577201 **Palakkad:** 1/0 / 688, Sreedevi Residency, Mettupalayam Street, Palakkad, Kerala, 678001 **Margao:** CAMS SERVICE CENTRE, F4-Classic Heritage, Near Axis Bank, Opp. BPS Club, Pajifonm, Margao, Goa-403601 **Karur:** 126 G, V.P.Towers, Kovai Road, Basement of Axis Bank, Karur, Tamil Nadu, 639002 **Bikaner:** Behind Rajasthan Patrika In front of Vijaya bank 1404, Amar Singh Pura Bikaner.334001 **Kakinada:** CAMS SERVICE CENTRE, D No.25-4-29, 1st floor, Kommireddy vari street, Beside Warf Road, Opp swathi medicals, Kakinada-533001 **Bilaspur:** CAMS SERVICE CENTRE, Shop, No. B-104, First Floor, Narayan Plaza, Link Road, Bilaspur, (C.G.)-495001 **Vapi:** 208, 2nd Floor HEENA ARCADE, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi, Gujarat, 396195 **Ambala:** shop no 48, opp. peer, Bal Bhawan Road, Ambala City, Haryana **Agartala:** Advisor Choumnuhani (Ground Floor), Krishnanagar, Agartala, Tripura, 799001 **Saharanpur:** I Floor, Krishna Complex Opp. Hathi Gate, Court Road, Saharanpur, Uttar Pradesh, 247001 **Kharagpur:** CAMS SERVICE CENTRE, "Silver Palace" OT Road, In-da-Kharagpur, G-P-Barakola, P.S.Kharagpur Local, Dist West Midnapore-721305 **Tiruvalla:** 24/590-14, C.V.P Parliament Square Building Cross Junction, Tiruvalla, Kerala, 689101 **Alleppey:** Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Alleppey Kerala, 688001 **Noida:** C-81 First Floor, Sector 2 Noida Near JCB Office, Noida-201301 (UP) **Thane:** CAMS SERVICE CENTRE, Dev Corpora, 1st Floor, Office No.102, Cadbury Junction, Eastern Express Way, Thane-400601 **Andheri:** CAMS Pvt Ltd, No.351, Icon, 501, 5<sup>th</sup> Floor, Western Express Highway, Andheri East, Mumbai-400069 **Sangli:** Jiveshwar Krupa Bldg, Shop. No.2, Ground Floor, Tilak Chowk, Harhat Road, Sangli, Maharashtra-416416 **Jalna:** Shop No 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, Maharashtra, 431203 **Ghatkopar:** CAMS SERVICE CENTRE, Platinum Mall, Office No.307, 3rd Floor, Jawahar Road, Ghatkopar East, Mumbai-400077 **Borivali:** CAMS PVT LTD, Hirji Heritage, 4<sup>th</sup> Floor, Office No.402, L. T. Road, Borivali, Mumbai-400092 **Vashi:** CAMS SERVICE CENTRE, BSEL Tech Park, B-505, Plot No.39/5 & 39/5A, Sector 30A, Opp. Vashi Railway Station Vashi, Navi Mumbai-400705 **Bharuch (parent: Ankleshwar TP):** F-108, Rangoli Complex, Station Road, Bharuch, Gujarat, 392001 **Karnal (Parent: Panipat TP):** No.29, Avtar Colony, Behind vishal mega mart, Karnal-132001 **Mapusa (Parent ISC: Goa):** Office no.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op, Bank Angod, MapusaGoa, 403507 **Nadiad (Parent TP: Anand TP):** F 142, First Floor, Ghantakarna Complex Gunj Bazar, Nadiad, Gujarat, 387001 **Bhusawal (Parent: Jalgaon TP):** 3, Adela Apartment, Chandin Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra, 425201 **Unjha (Parent: Mehsana):** 10/11, Maruti Complex, Opp. B R Marbles, Highway Road, Unjha, Gujarat, 384170 **Gondal (Parent Rajkot):** A/177, Kailash Complex Opp. Khedut Decor Gondal, Gujarat, 360311 **Vasco (Parent Goa):** No DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank, Vasco, Goa, 403802 **New Delhi-CC:** Flat no.512, Narian Manzil, 23 Barakhamba Road Connaught Place, New Delhi, 110001 **Kolkata-CC (Kolkata Central):** 2A, Ganesh Chandra Avenue Room, No.3A, Commerce House" (4th Floor), Kolkata, West Bengal 700013 **Chennai-Satelite ISC:** No.158, Rayala Tower-1, Anna salai, Chennai-600002 **Ahmednagar:** B, 1+3, Krishna Encloave Complex, Near Hotel Natraj Nagar, -Aurangabad Road, Ahmednagar, Maharashtra 414001 **Basti:** CAMS C/O RAJESH MAHADEV & CO SHOP NO 3: JAMIA COMLEX STATION ROAD: BASTI PIN 272002 **Chhindwara:** Shop No. 01, Near Puja Lawn, Prarasia Road, Chhindwara, Madhya Pradesh 480001 **Chittorgarh:** 3, Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan 312001 **Darbhanga:** Ground Floor, Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga- 846001. **Dharmapuri:** 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamil Nadu 636701 **Dhule:** House No 3140, Opp Liberty Furniture, Jammalal Bajaj Road, Near Tower Garden, Dhule, Maharashtra 424001 **Faizabad:** CAMS SERVICE CENTRE, 1/13/196, A, Civil Lines, Behind Tripati Hotel, Faizabad, Uttarpradesh-224001 **Gandhidham:** S-7, Ratnakala Arcade, Plot No. 231, Ward - 12/B, Gandhidham, Gujarat 370201 **Gulbarga:** Pal Complex, 1st Floor, Opp. City Bus Stop, Super Market, Gulbarga, Karnataka 585101 **Haldia:** 1st Floor, New Market Complex, Durgachak Post Office, Durgachak, Haldia, West Bengal 721602 **Haldwani:** Durga City Centre, Nainital Road, Haldwani, Uttarakhand-263139 **Himmatnagar:** D-78, First Floor, Near Durga Bazar, Near Railway Crossing, Himmat Nagar, Gujarat 383001 **Hoshiarpur:** Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab 146001 **Hosur:** No.9/2, 1st Floor, Attibele Road, HCF Post, Behind RTTO office, Mathigiri, Hosur, Tamil Nadu 635110 **Jaunpur:** 248, Fort Road Near Amber Hotel, Jaunpur Uttar Pradesh-222001 **Katni:** 1st Floor, Gurunanak dharmakanta, Jabalpur, Road, Bargawan, Katni, Madhya Pradesh 483501 **Khammam:** Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam, Telangana 507001 **Malda:** Daxhinapan Abasan, Opp. Lane of the Kalinga, SM Pally, Malda, West Bengal 732101 **Manipal:** Basement floor, Academy Tower, Opposite Corporation Bank, Manipal, Karnataka 576104 **Mathura:** 159/160 Vikas Bazar Mathura Uttarpradesh-281001 **Moga:** Gandhi Road, Opp Union Bank of India, Moga, Punjab 142001 **Namakkal:** 156A / 1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, Tamil Nadu 637001 **Palanpur:** 3rd Floor, T - 11, Tirupati Plaza Opp. Government Quarters College Road, Palanpur, Gujarat 385001 **Rae Bareli:** 17, Anand Nagar Complex Opposite Moti Lal Nehru School Rajatil Jail Road Rae Bareilly Uttar Pradesh -229001 **Rajapalayam:** No 59 A/1, Railway Feeder Road (Near Railway Station) Rajapalayam Tamil Nadu626117 **Ratlam:** Dafia: & Co, No.18, Ram Bagh, Near Stadiar's School, Ratlam, Madhya Pradesh 457001 **Ratnagiri:** Office No - 2, Kohinoor Complex, Near Savarkar Natya Theatre, Nachane Road, Ratnagiri, Maharashtra. 415639 **Roorkee:** 22, Civil Lines, Ground Floor, Hotel Krish Residency, Roorkee, Uttarakhand 247667 **Sagar:** Opp. Somani Automobile, s Bhagwananj Sagar, Madhya Pradesh 470002 **Shahjahanpur:** Bijlipura, Near Old Dist Hospital, Jail Road, Shahjahanpur Uttarpradesh-242001 **Sirsa:** M G Complex, Bhawna marg, Beside Over Bridge, Sirsa Haryana, 125055 **Sitapur:** Arya Nagar Near Arya Kanya School Sitapur Uttarpradesh-261001 **Solan:** 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, Himachal Pradesh 173212 **Srikakulam:** Door No 4-4-96, First Floor: Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam, Andhra Pradesh 532001 **Sultanpur:** 967, Civil Lines Near Pant Stadium Sultanpur Uttarpradesh-228001 **Surendranagar:** 2 M I Park, Near Commerce College, Wadhwan City, Surendranagar Gujarat 363035 **Tinsukia:** CAMS Transaction Point, Bhowal Complex Ground Floor, Near Dena Bank, Rongogara Road PO / Dist - Tinsukia Assam PIN -786 125 **Tuticorin:** 4B/A16, Mangal Mall Complex, Ground Floor, Mani Nagar, TuticorinTamilnadu628003 **Ujjain:** 123, 1st Floor, Siddhi Vinayaka Trade Centre, Saheed Park, UjjainMadhyaPradesh456010 **Yavatmal:** Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal, Maharashtra 445001 **Kukatpally:** CAMS SERVICE CENTRE, No.15-31-2M-1/4, 1<sup>st</sup> floor, 14-A, MIG, KPFB colony, Kukatpally, Hyderabad-500072. **Kolkata** Saket Building,44 Park Street, 2nd Floor,Kolkata,Westbngal,700016 **Kolkata** 2A,Ganesh Chandra AvenueRoom ,No.3A, Commerce House"(4th Floor),Kolkata,West Bengal 700013

**NOT OFFICIAL POINT OF ACCEPTANCE**

**AMC Offices**

**Delhi:** IIFL Asset Management Ltd, 6th Floor, GYS Platinum, D-3, P-3B, Saket District Centre New Delhi 110 017. **Bangalore:** IIFL Asset Management Ltd, Level 3, Prestige Nebula-1,8-12, Cubbon road, FMC Cariappa Colony, Shivanchetti Gardens, Bangalore-560001. **Kolkata:** IIFL Asset Management Ltd, Kolkatta, Unit 3, Level 3, Camac Square, 24, Camac Street, Kolkata-700 016



IIFL Mutual Fund Customer Service Centres and Official Points of Acceptance	Registrar and Transfer Agent	CMS Collection Bankers
IIFL Asset Management Ltd. (Formerly Infoline Asset Management Company Ltd.) Registered Office: IIFL Centre, 6th Floor, Kamala City, S.B. Marg, Lower Parel, Mumbai - 400 013 www.iifl.com	Computer Age Management Services Private Limited (CAMS) Registered Office: New No. 10, Old No. 178, M.G.R. Salai, Nungambakkam, Chennai - 600 034 www.camsonline.com	HDFC Bank Limited Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013 www.hdfcbank.com India